

# Supplement to the Personal Questionnaire

Every Director [Category 2 & 3 members only] and Traders of a Member must either complete a “Personal Questionnaire” or submit a copy of any such questionnaire provided to a regulatory body recognised by The International Stock Exchange Authority Limited [the “Authority”] along with this “Supplement to a personal questionnaire” form duly completed.

Either form must be submitted to the Authority within 10 business days of the appointment of the Director or Officer or Trader. These should be sent only by email to: [membership@tisegroup.com](mailto:membership@tisegroup.com)

I,

being a Director  Compliance Officer  Trader

of

hereby submit this form together with a certified copy of the questionnaire submitted to:

(insert name of regulatory body)

(together with any attachments originally submitted therewith) dated

The changes that have occurred since the date the questionnaire was originally submitted are as follows:

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# Supplement to the Personal Questionnaire

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## Declaration

I confirm that the information supplied is complete and correct to the best of my knowledge and belief at the time of submission and that there are no other facts material to the assessment of my fitness and propriety. I undertake to inform the Authority, without delay, of any material changes to the information supplied in this form and/or attached questionnaire.

I hereby authorise the Authority to disclose any information provided in this declaration to such other bodies as the Authority may, in its absolute discretion, deem necessary in accordance with its Privacy Statement which I have read and understood.

**Name (block capitals):**

**Signed:**

**Date:**

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